ISO 27001:2022 Internal Audit Report

1. Internal audit plan

1.1. Audit purpose

Evaluate the organization's information security management system (ISMS) for ongoing compliance with the ISO 27001:2022 standard, relevant regulations, and internal and external stakeholder requirements, and verify that the information security controls implemented are operating effectively to ensure that the system continues to achieve its intended goals.

1.2. Scope of audit

The information security management system of the Company's Information Department includes Enterprise Resource Planning System (ERP), Business Process Management System (BPM), Manufacturing Operations Management System (MES), and information room operation and maintenance.

Statement of Applicability issued on 2024-10-01, version A2.

1.3. Audit method

Including interviews, on-site environment and operation observations, sampling, review documents, and review records.

1.4. Audit meeting

The person in charge of internal audit shall hold a pre-audit meeting and an audit result explanation meeting according to actual needs.

1.5. Audit time

2024.11.26 09:30~16:30

1.6. Audited unit

Information Department 1, Information Department 2, Information Department 3, Information Department 4

1.7. audit matters and organization

See Appendix 1 for details

2. Audit finding classification description

Classification	Explanation
Conformity	Fully compliant with audit items and specifications without improvement.

Nonconformity	If the audit requirements are not met, there are obvious deficiencies or violations, and improvement measures need to be proposed.
Observation	It basically meets the requirements, but there is room for improvement, and it is recommended to improve (e.g., the process can be optimized, the records are incomplete, etc.). It is also sometimes referred to as a "potential non-conformance."
Not Applicable	This audit standard has nothing to do with this unit or this scenario and does not need to be evaluated.

3. Audit results

3.1. Findings from the Previous Audit

total of 0 items.

3.2. The results of audit.

Project No	Requirements	Nonconformity	Observation
Clause 4	Context of the Organization	0	1
Clause 5	Leadership	0	0
Clause 6	Planning	0	0
Clause 7	Support	0	0
Clause 8	Operation	0	0
Clause 9	Performance Evaluation	0	0
Clause 10	Improvement	0	0
A5	Organizational Control	0	8
A6	People Control	1	1
A7	Physical Control	0	0
A8	Technological Control	0	3

The detailed findings are shown in Appendix 2

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3.3. Recommendations

The final effectiveness and implementation proof of corrective measures and corrections found in this audit will be verified in the next internal/external audit.

3.4. Head of the audited department

陳財茂 徐榮聰 陳益昌 葉明通 [illegible]   Date: 2024. 11. 26

3.5. Internal audit report

Lead auditor	Information Security Management Representative
呂雅蕾 Date: 2024. 11. 26	[illegible] Date: 2024. 11. 26